

COLUMBUS MCKINNON CORP  
 Form 4  
 February 18, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Giesige Charles R

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 VP Corporate Development

(Last) (First) (Middle)  
 140 JOHN JAMES AUDUBON  
 PARKWAY  
 (Street)  
 AMHERST, NY 14228  
 (City) (State) (Zip)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/13/2014  
 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 19,207 <sup>(1)</sup>   | D  |                                   |
| Common Stock                    | 02/13/2014                           |  | M                              |   | \$ 5,612 A 13.27  | 24,819 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 02/13/2014                           |  | S                              |   | 5,612 D \$ 26   | 19,207 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 02/13/2014                           |  | M                              |   | 428 A \$ 19.5   | 19,635 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 02/13/2014                           |  | S                              |   | 428 D \$ 26   | 19,207 <sup>(1)</sup>                                    | D                                 |

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

|              |            |   |       |   |          |                         |   |
|--------------|------------|---|-------|---|----------|-------------------------|---|
| Common Stock | 02/13/2014 | M | 4,698 | A | \$ 18.24 | 23,905 <sup>(1)</sup>   | D |
| Common Stock | 02/13/2014 | S | 4,698 | D | \$ 26    | 19,207 <sup>(1)</sup>   | D |
| Common Stock |            |   |       |   |          | 737.9507 <sup>(2)</sup> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                            |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45   |                                      |  |                                |   | 05/19/2009 05/18/2018                                    | Common Stock 52   |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27   | 02/13/2014                           |  | M                              | 5,612   | 05/18/2010 05/17/2019                                    | Common Stock 5,612  |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   | 02/13/2014                           |  | M                              | 4,698   | 05/17/2011 05/16/2020                                    | Common Stock 4,698  |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  | 02/13/2014                           |  | M                              | 428   | 05/23/2012 05/22/2021                                    | Common Stock 428  |
| Non-Qualified Stock Option (Right to Buy)  | \$ 13.43   |                                      |  |                                |   | 05/21/2013 05/20/2022                                    | Common Stock 9,400  |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   |                                      |  |                                |   | 05/20/2014 05/20/2023                                    | Common Stock 4,300 <sup>(8)</sup>                           |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Giesige Charles R<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 |               |           | VP Corporate<br>Development |       |

## Signatures

Charles R. Giesige  
02/18/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Includes 12,880 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 824 shares become fully vested and non-forfeitable on 5/17/2014; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 3,542 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014; 2,060 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
  - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
  - (3) All exercisable, subject to IRS limitations.
  - (4) The reporting person exercised the remaining 5,612 options on 2/13/2014.
  - (5) The remaining 1,566 shares become exercisable on 5/17/2014, if reporting person remains an employee of issuer.
  - (6) The reporting person exercised 428 options on 2/13/2014. Therefore, 2,440 options are exercisable, subject to IRS limitations, and the remaining 2,868 options are exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
  - (7) Exercisable 25 % per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
  - (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.