

Brown Stuart R  
Form 4  
June 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Brown Stuart R

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
12/14/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

850 DIXIE HIGHWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOUISVILLE, KY 40210

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Class A Common	01/04/2018		G	V 446 A \$ 0	413,482	D	
Class A Common	01/09/2018		G	V 701,650 A \$ 0	1,115,132	D	
Class A Common	01/04/2018		G	V 1,792 D \$ 0	1,113,340	D	
Class B Common	01/09/2018		G	V 362,302 A \$ 0	990,821 <sup>(1)</sup>	D	
Class B Common	04/12/2018		G	V 681,500 D \$ 0	309,321	D	

Edgar Filing: Brown Stuart R - Form 4

Class B Common	12/14/2017	G V 420	A \$ 0	9,097 <sup>(2)</sup>	I	By Spouse
Class A Common				40,878 <sup>(3)</sup>	I	2015 Trust
Class A Common				36,104	I	Hedge Street Partners LLC
Class A Common				228,302	I	SKCJ Investments LLC
Class B Common				22,616 <sup>(4)</sup>	I	2015 Trust
Class B Common				20,306 <sup>(5)</sup>	I	Hedge Street Partners LLC
Class B Common				126,217 <sup>(6)</sup>	I	SKCJ Investments LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: Brown Stuart R - Form 4

Director 10% Owner Officer Other

Brown Stuart R  
850 DIXIE HIGHWAY X  
LOUISVILLE, KY 40210

Signatures

Michael E. Carr, Jr. Attorney in Fact for Stuart R.  
Brown 06/13/2018  
\*\*Signature of Reporting Person Date

Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 28, 2018, the issuer completed a stock split resulting in the distribution of one share of Class B common stock for every four shares of either Class A or Class B common stock held. On April 23, 2018, the issuer paid a special cash dividend. Total has been updated to reflect the acquisition of 420,830 additional shares in the February 2018 stock split and to correct a prior minor mathematical error. All transactions reported on this form that occurred before the stock split are presented on a pre-split basis.
- (2) Total has been updated to reflect the acquisition of 1,819 additional shares in the February 2018 stock split.
- (3) The reporting person no longer has a reportable beneficial interest in the Child-1 account and Child-2 account included in the reporting person's prior reports.
- (4) Total has been updated to reflect the acquisition of 12,698 additional shares in the February 2018 stock split.
- (5) Total has been updated to reflect the acquisition of 11,282 additional shares in the February 2018 stock split.
- (6) Total has been updated to reflect the acquisition of 70,903 additional shares in the February 2018 stock split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.