MATTEL INC /DE/ Form 4

November 02, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ALLMARK DAVID** 

(Last) (First) (Middle)

MATTEL, INC., 333 CONTINENTAL BOULEVARD

(Street)

2. Issuer Name and Ticker or Trading Symbol

MATTEL INC /DE/ [MAT]

3. Date of Earliest Transaction (Month/Day/Year) 11/01/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title below)

EVP Global Brands Team - F-P

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### EL SEGUNDO, CA 90245

(City)	(State)	(Zip) Tabl	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/01/2012		M	13,125	A	\$ 23.58	29,193	D	
Common Stock	11/01/2012		S	13,125	D	\$ 37	16,068	D	
Common Stock	11/01/2012		M	27,045	A	\$ 20.48	43,113	D	
Common Stock	11/01/2012		S	27,045	D	\$ 37	16,068	D	
Common Stock	11/01/2012		M	27,778	A	\$ 17.58	43,846	D	

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Common Stock

11/01/2012

S 27,778 D \$ 37

16,068

D

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option - Right to Buy	\$ 23.58	11/01/2012		M		13,125	08/01/2010	08/01/2017	Common Stock	13,125
Employee Stock Option - Right to Buy	\$ 20.48	11/01/2012		M		27,045	08/01/2011	08/01/2018	Common Stock	27,045
Employee Stock Option - Right to Buy	\$ 17.58	11/01/2012		M		27,778	07/31/2012	07/31/2019	Common Stock	27,778

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ALLMARK DAVID			EVP				
MATTEL, INC.			Global				
333 CONTINENTAL BOULEVARD			Brands				
EL SEGUNDO, CA 90245			Team - F-P				

Reporting Owners 2 Edgar Filing: MATTEL INC /DE/ - Form 4

### **Signatures**

/s/ Andrew Paalborg, Attorney-in-Fact for David Allmark

11/02/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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