

COLUMBUS MCKINNON CORP  
 Form 4  
 May 29, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Woon Eric

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/27/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Vice President - APAC

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 12,379 <sup>(1)</sup>   | D  |                                   |
| Common Stock                    | 05/27/2014                           |  | M                              |   | 4,986 A \$ 14.8   | 17,365 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 05/27/2014                           |  | S                              |   | 4,986 D \$ 30.13  | 12,379 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 05/28/2014                           |  | M                              |   | 14 A \$ 14.8  | 12,393 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 05/28/2014                           |  | S                              |   | 14 D \$ 29.49   | 12,379 <sup>(1)</sup>                                    | D                                 |

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|              |            |   |       |   |          |                       |   |
|--------------|------------|---|-------|---|----------|-----------------------|---|
| Common Stock | 05/28/2014 | M | 1,078 | A | \$ 18.24 | 13,457 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | S | 1,078 | D | \$ 29.49 | 12,379 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | M | 1,167 | A | \$ 19.5  | 13,546 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | S | 1,167 | D | \$ 29.49 | 12,379 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | M | 2,069 | A | \$ 13.43 | 14,448 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | S | 2,069 | D | \$ 29.49 | 12,379 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | M | 1,160 | A | \$ 18.95 | 13,539 <sup>(1)</sup> | D |
| Common Stock | 05/28/2014 | S | 1,160 | D | \$ 29.49 | 12,379 <sup>(1)</sup> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-Qualified Stock Options (Right to Buy) | \$ 14.8  | 05/27/2014                           |  | M                              | 4,986   | 01/25/2013 01/24/2020                                    | Common Stock  | 4,986                         |
| Non-Qualified Stock Options (Right to Buy) | \$ 14.8  | 05/28/2014                           |  | M                              | 14  | 01/25/2013 01/24/2020                                    | Common Stock  | 14                            |
|  | \$ 18.24   | 05/28/2014                           |  | M                              | 1,078   | 05/17/2011 05/16/2020                                    |   | 1,078                         |

|  |          |            |   |       |            |            |  |              |            |
|--|----------|------------|---|-------|------------|------------|--|--------------|------------|
| Non-Qualified Stock Options (Right to Buy) |          |            |   |       |            |            |  | Common Stock |            |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  | 05/28/2014 | M | 1,167 | 05/23/2012 | 05/22/2021 |  | Common Stock | 1,1        |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43 | 05/28/2014 | M | 2,069 | 05/21/2013 | 05/20/2022 |  | Common Stock | 2,0        |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95 | 05/28/2014 | M | 1,160 | 05/20/2014 | 05/20/2023 |  | Common Stock | 1,1<br>(7) |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| Woon Eric<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 |               |           | Vice President - APAC |       |

## Signatures

Eric Woon                      05/29/2014

\*\*Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Includes 6,326 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 309 shares become fully vested and non-forfeitable on 5/23/2015, 1,376 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 2,413 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and the remaining 2,946 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer. Also includes 1,450 shares that become fully vested and non-forfeitable on 5/23/2014 and 1,414 shares that become fully vested and non-forfeitable on 5/20/2016.
  - (2) Originally granted 10,000 options. The reporting person exercised 4,986 options on 5/27/2014. 14 options are exercisable, subject to IRS limitations, and the remaining 3,333 options become exercisable on 1/25/2015, if reporting person remain an employee of issuer.
  - (3) The reporting person exercised 14 options on 5/28/2014. The remaining 3,333 options become exercisable on 1/25/2015, if reporting person remains an employee of issuer.
  - (4) Originally granted 1,724 options. The reporting person exercised 543 options on 11/19/2013, 103 options on 11/26/2013 and 1,078 options on 5/28/2014.
  - (5) Originally granted 2,334 options. The reporting person exercised 583 options on 11/26/2013 and 1,167 options on 5/28/2014. The remaining 584 options become exercisable on 5/23/2015, if reporting person remains an employee of issuer.
  - (6) Originally granted 5,517 options. The reporting person exercised 689 options on 11/26/2013 and 2,069 options on 5/28/2014. The remaining 2,759 options become exercisable 50% per year for two years beginning 5/21/2015, if reporting person remains an employee of issuer.
  - (7)

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Originally granted 4,970 options. The reporting person exercised 1,160 options on 5/28/2014. The remaining 3,810 options become exercisable 33.33% per year for three years beginning 5/20/2015, if reporting person remains an employee of issuer.

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