

COLUMBUS MCKINNON CORP  
 Form 4  
 May 22, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Rustowicz Gregory P

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 140 JOHN JAMES AUDUBON  
 PARKWAY

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/20/2014

\_\_\_\_ Director  
 Officer (give title below)  
 \_\_\_\_ 10% Owner  
 \_\_\_\_ Other (specify below)  
 VP - Finance and CFO

(Street)  
 AMHERST, NY 14228

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |   |
|                                 |                                      |  |                                | Code  | V   | Amount   |   |   |
| Common Stock                    |                                      |  |                                |   |   | D  |   |   |
| Common Stock                    | 05/20/2014                           |  | F                              | 435 <sup>(1)</sup>  | D   | \$ 27.17   | 28,594 <sup>(2)</sup>                                 | D |
| Common Stock                    |                                      |  |                                |   |   |  | 186.8352 <sup>(3)</sup>                               | D |
| Common Stock                    |                                      |  |                                |   |   |  | 471,543.1648  | I |
|                                 |                                      |  |                                |   |   |  | Additional shares held by ESOP; reporting             |   |

person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.1  |                                      |  |                                |   | 10/24/2014   | 10/24/2021  | Common Stock | 6,000                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43   |                                      |  |                                |   | 05/21/2013   | 05/20/2022  | Common Stock | 11,942                     |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   |                                      |  |                                |   | 05/20/2014   | 05/20/2023  | Common Stock | 10,181                     |
| Non-Qualified Stock Options (Right to Buy) | \$ 27.12   |                                      |  |                                |   | 05/19/2015   | 05/19/2024  | Common Stock | 9,330                      |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
| Rustowicz Gregory P            |               |           | VP -    |       |

140 JOHN JAMES AUDUBON PARKWAY  
AMHERST, NY 14228

Finance  
and CFO

## Signatures

Gregory  
Rustowicz

05/22/2014

\_\_\_\_\_  
\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1206 restricted stock units on 5/20/2014.  
Includes 25,398 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 6,000 units become fully vested and non-forfeitable 33.33% per year for three years beginning 10/24/2014, 2,978 units become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015; 3,620 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015, 3,682 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, and 2,896 shares which become fully vested and non-forfeiture on 5/20/2016, if reporting person remains an employee of issuer. This footnote corrects the information included in footnote number 3 in the Form 4 filed on 5/21/2014.
  - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
  - (3) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
  - (4) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
  - (5) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
  - (6) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.