

COLUMBUS MCKINNON CORP
Form 4
October 29, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
10/28/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP-Latin America

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					11,975 ⁽¹⁾	D	
Common Stock	10/28/2013		M		1,111 A \$ 13.43	13,086 ⁽¹⁾	D
Common Stock	10/28/2013		M		2,246 A \$ 13.27	15,332 ⁽¹⁾	D
Common Stock	10/28/2013		S		774 D \$ 26.9073	14,558 ⁽¹⁾	D
Common Stock	10/28/2013		S		2,069 D \$ 26.9073	12,489 ⁽¹⁾	D

Common Stock 1,424.0474 (2) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	10/28/2013		M	2,246	05/18/2010 05/17/2019	Common Stock	2,246	
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	10/28/2013		M	1,111	05/21/2013 05/20/2022	Common Stock	1,111	
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011 05/18/2018	Common Stock	35	
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock	1,700	
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock	1,800	
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock	6,700	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Wozniak Kurt F
 140 JOHN JAMES AUDUBON PARKWAY
 AMHERST, NY 14228

VP-Latin America

Signatures

Kurt F. Wozniak 10/29/2013

**Signature of
 Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 7,910 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 234 shares become fully vested and non-forfeitable 5/17/2014; 479 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014,
- (1) 1,663 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014; 3,218 share become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,316 shares become fully vested and non-forfeitable on 5/21/2014, if reporting person remains an employee of issuer.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (3) The reporting person exercised 2,246 options on 10/28/13.
 - (4) The reporting person exercised 1,111 options on 10/28/2013. The remaining 3,335 options become exercisable 33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
 - (5) All exercisable, subject to IRS limitations.
 - (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.