TURNER MARK A

Form 4 June 20, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TURNER MARK A

2. Issuer Name and Ticker or Trading Symbol

WSFS FINANCIAL CORP [WSFS]

5. Relationship of Reporting Person(s) to

(Check all applicable)

**CEO** 

Issuer

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director

C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE

(Street)

**AVENUE** 

06/18/2018

Officer (give title below)

10% Owner Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WILMINGTON, DE 19801

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	ed of 4 and 3 (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/18/2018	06/18/2018	M	Amount 3,691	(D)	\$ 16.5067	135,327	D	
Common Stock	06/18/2018	06/18/2018	S <u>(1)</u>	3,691	D	\$ 55.0084 (2)	131,636	D	
Common Stock	06/19/2018	06/19/2018	M	11,900	A	\$ 16.5067	143,536	D	
Common Stock	06/19/2018	06/19/2018	S <u>(1)</u>	11,900	D	\$ 55.1931 (3)	131,636	D	

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Common Stock	06/20/2018	06/20/2018	M	5,409	A	\$ 16.5067	137,045	D	
Common Stock	06/20/2018	06/20/2018	S <u>(1)</u>	5,409	D	\$ 55.4348 (4)	131,636	D	
Common Stock							32,409	I	401k
Common Stock							7,500	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 16.5067	06/18/2018	06/18/2018	M		3,691	<u>(5)</u>	02/20/2020	Common Stock	3,691
Stock Options (Right to buy)	\$ 16.5067	06/19/2018	06/19/2018	M		11,900	<u>(5)</u>	02/20/2020	Common Stock	11,900
Stock Options (Right to buy)	\$ 16.5067	06/20/2018	06/20/2018	M		5,409	<u>(5)</u>	02/28/2020	Common Stock	5,409

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

TURNER MARK A C/O WSFS FINANCIAL CORPORATION 500 DELAWARE AVENUE WILMINGTON, DE 19801

CEO

## **Signatures**

/s/ Mark A. Turner by Charles Mosher, Power of Attorney

06/20/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.00 to \$55.05, inclusive.
- (2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.00 to \$55.475, inclusive.
- (3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$55.25 to \$55.55, inclusive.
- (4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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