#### Edgar Filing: WSFS FINANCIAL CORP - Form 3

WSFS FINANCIAL CORP

Form 3

April 11, 2017

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

À GREENPLATE PAUL S

(Last) (First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

04/01/2017

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE AVENUE

(Street)

10% Owner Director \_X\_\_ Officer \_ Other

(Check all applicable)

(give title below) (specify below) **EVP** 

WSFS FINANCIAL CORP [WSFS]

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WILMINGTON, DEÂ 19801

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

3,537

D

Â

Common Stock

8,252

Ι 401-K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial

Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Options (Right to Buy)	02/28/2014(1)	02/28/2018	Common Stock	7,056	\$ 15.8334	D	Â
Stock Options (Right to Buy)	02/27/2015(2)	02/27/2021	Common Stock	4,572	\$ 23.8234	D	Â
Stock Options (Right to Buy)	04/15/2016(3)	02/26/2022	Common Stock	1,566	\$ 26.2367	D	Â
Stock Options (Right to Buy)	04/15/2017(4)	02/25/2023	Common Stock	1,244	\$ 29.86	D	Â
Stock Options (Right to Buy)	04/15/2018(5)	04/15/2024	Common Stock	882	\$ 47.05	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
GREENPLATE PAUL S C/O WSFS FINANCIAL CORPORATION 500 DELAWARE AVENUE WILMINGTON, DE 19801	Â	Â	EVP	Â	

### **Signatures**

/s/ Paul S. Greenplate by Charles K. Mosher, Attorney-in-Fact

04/11/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested and became exercisable in four equal annual installments beginning on February 28, 2014.
- (2) The option vests and becomes exercisable in four equal annual installments beginning on February 27, 2015.
- (3) The option vests and becomes exercisable in four equal annual installments beginning on April 15, 2016.
- (4) The option vests and becomes exercisable in four equal annual installments beginning on April 15, 2017.
- (5) The option vests and becomes exercisable in four equal annual installments beginning on April 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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