

Accogli Giuseppe  
Form 4  
January 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Accogli Giuseppe

2. Issuer Name and Ticker or Trading Symbol  
BAXTER INTERNATIONAL INC  
[BAX]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
  
ONE BAXTER PARKWAY  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/02/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP, President, Global Bus.

DEERFIELD, IL 60015

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	6. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 par value	01/02/2018		M <sup>(1)</sup>	1,241	A	\$ 28.97	46,970	D
Common Stock, \$1 par value	01/02/2018		M <sup>(1)</sup>	2,461	A	\$ 30.95	49,431	D
Common Stock, \$1 par value	01/02/2018		M <sup>(1)</sup>	5,553	A	\$ 37.82	54,984	D
Common Stock, \$1	01/02/2018		M <sup>(1)</sup>	9,386	A	\$ 37.17	64,370	D

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par value

Common Stock, \$1 par value	01/02/2018		<u>M</u> <sup>(1)</sup>	10,000	A	\$ 37.38	74,370	D
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Common Stock, \$1 par value	01/02/2018		S	28,641	D	\$ 67	45,729	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 28.97	01/02/2018		<u>M</u> <sup>(1)</sup>	1,241	03/04/2014 03/04/2022	Common Stock, \$1 par value	1,241	
Stock Option (Right to Buy)	\$ 30.95	01/02/2018		<u>M</u> <sup>(1)</sup>	2,461	03/06/2015 03/06/2023	Common Stock, \$1 par value	2,461	
Stock Option (Right to Buy)	\$ 37.82	01/02/2018		<u>M</u> <sup>(1)</sup>	1	03/05/2014 03/05/2024	Common Stock, \$1 par value	1	
Stock Option (Right to Buy)	\$ 37.82	01/02/2018		<u>M</u> <sup>(1)</sup>	2,776	03/05/2015 03/05/2024	Common Stock, \$1 par value	2,776	
Stock Option (Right to Buy)	\$ 37.82	01/02/2018		<u>M</u> <sup>(1)</sup>	2,776	03/07/2016 03/05/2024	Common Stock, \$1 par value	2,776	

Buy)									
Stock Option (Right to Buy)	\$ 37.17	01/02/2018	M <sup>(1)</sup>	1,877	03/04/2015	03/04/2025	Common Stock, \$1 par value	1,877	
Stock Option (Right to Buy)	\$ 37.17	01/02/2018	M <sup>(1)</sup>	3,754	03/04/2016	03/04/2025	Common Stock, \$1 par value	3,754	
Stock Option (Right to Buy)	\$ 37.17	01/02/2018	M <sup>(1)</sup>	3,755	03/06/2017	03/04/2025	Common Stock, \$1 par value	3,755	
Stock Option (Right to Buy)	\$ 37.38	01/02/2018	M <sup>(1)</sup>	10,000	03/03/2017	03/03/2026	Common Stock, \$1 par value	10,000	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Accogli Giuseppe ONE BAXTER PARKWAY DEERFIELD, IL 60015			SVP, President, Global Bus.	

## Signatures

/s/ Ellen K. McIntosh, as attorney in-fact for Giuseppe Accogli  
 \*\*Signature of Reporting Person  
 Date 01/03/2018

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a Rule 10b5-1 trading plan entered into by Mr. Accogli with respect to the stock options granted by Baxter International Inc. ("BII") to Mr. Accogli.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.