

CNA FINANCIAL CORP
Form 8-K
April 25, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) April 25, 2018

CNA FINANCIAL CORPORATION
(Exact name of registrant as specified in its charter)

| | | |
|---|-----------------------------|--------------------------------------|
| Delaware | 1-5823 | 36-6169860 |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer Identification No.) |

| | |
|--|------------|
| 333 S. Wabash, Chicago, Illinois | 60604 |
| (Address of principal executive offices) | (Zip Code) |

Registrant's telephone number, including area code (312) 822-5000

NOT APPLICABLE

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ITEM 5.07 SUBMISSION OF MATTERS TO A VOTE OF SECURITY HOLDERS.

The 2018 Annual Meeting of Stockholders of the registrant occurred on April 25, 2018. Represented at the meeting, in person or by proxy, were 269,928,570 shares constituting nearly 100% of the issued and outstanding shares entitled to vote.

1. ELECTION OF DIRECTORS.

The following directors were elected:

| | Votes For | Votes Withheld | Broker Non-Votes |
|--------------------|-------------|----------------|------------------|
| Michael A. Bless | 261,656,039 | 6,080,841 | 2,191,690 |
| Jose O. Montemayor | 266,214,559 | 1,522,321 | 2,191,690 |
| Don M. Randel | 266,066,584 | 1,670,296 | 2,191,690 |
| Andre Rice | 267,033,466 | 703,414 | 2,191,690 |
| Dino E. Robusto | 257,212,808 | 10,524,072 | 2,191,690 |
| Joseph Rosenberg | 256,601,592 | 11,135,288 | 2,191,690 |
| Andrew H. Tisch | 255,786,818 | 11,950,062 | 2,191,690 |
| Benjamin J. Tisch | 256,802,590 | 10,934,290 | 2,191,690 |
| James S. Tisch | 255,476,697 | 12,260,183 | 2,191,690 |
| Marvin Zonis | 261,822,923 | 5,913,957 | 2,191,690 |

2. ADVISORY (NON-BINDING) VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION.

Over 97% of the shares eligible to vote approved, on an advisory (non-binding) basis, the Company's named executive officer compensation, as identified below in the table.

| | Votes For | Votes Against | Votes Abstained | Broker Non-Votes |
|---|-------------|---------------|-----------------|------------------|
| Advisory vote on executive compensation | 265,667,327 | 2,032,886 | 36,667 | 2,191,690 |

3. RATIFICATION OF APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR 2018.

Over 99% of the shares eligible to vote ratified the appointment of Deloitte & Touche LLP to serve as the independent registered public accountants for the registrant for 2018, as identified below in the table. There were no broker non-votes.

| | Votes For | Votes Against | Votes Abstained |
|--|-------------|---------------|-----------------|
| Ratification of appointment of Deloitte & Touche LLP | 268,810,472 | 1,103,493 | 14,065 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CNA Financial Corporation
(Registrant)

Date: April 25, 2018 By/s/ D. Craig Mense
(Signature)
D. Craig Mense
Executive Vice President and
Chief Financial Officer