

Edgar Filing: EATON VANCE CORP - Form 8-K

EATON VANCE CORP
Form 8-K
January 21, 2009

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 15, 2009

EATON VANCE CORP.

(Exact name of registrant as specified in its charter)

Maryland

(State or other jurisdiction
of incorporation)

1-8100

(Commission File Number)

04-2718215

(IRS Employer Identification
No.)

255 State Street, Boston, Massachusetts

(Address of principal executive offices)

02109

(Zip Code)

Registrant's telephone number, including area code (617) 482-8260

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

INFORMATION INCLUDED IN THE REPORT

Item 7.01 Regulation FD Disclosure

Registrant reported their preliminary assets under management, as described in Registrant's news release dated January 15, 2009, a copy of which is filed herewith as Exhibit 99.1 and incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits

Exhibit No.

99.1

Document

Press Release issued by the Registrant dated January 15, 2009 reporting the preliminary assets under management.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned hereunto duly authorized.

EATON VANCE CORP.
(Registrant)

Date:

January 21, 2009

/s/ Robert J. Whelan
Robert J. Whelan, Chief Financial Officer

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EXHIBIT INDEX

Each exhibit is listed in this index according to the number assigned to it in the exhibit table set forth in Item 601 of Regulation S-K. The following exhibit is filed as part of this Report:

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|---|
| 99.1 | Copy of Registrant's news release dated January 15 ,2009 reporting the preliminary assets under management. |

Exhibit 99.1

Contact:

Robert Whelan - 617.482.8260
 rwhelan@eatonvance.com

EATON VANCE CORP. REPORTS DECEMBER 31, 2008
ASSETS UNDER MANAGEMENT

Boston, MA, January 15, 2009 -- Eaton Vance Corp. (NYSE: EV) today reported assets under management of \$124.9 billion on December 31, 2008. This compares to \$123.1 billion on October 31, 2008, the close of the Company's fiscal year.

| | Assets Under Management (in billions) | |
|--------------------------------------|---|-------------------|
| | <u>12/31/2008</u> | <u>10/31/2008</u> |
| By client type:⁽¹⁾ | | |
| Funds | \$ 81.4 | \$ 87.3 |
| Retail managed accounts | 16.4 | 14.5 |
| Institutional separate accounts | 15.6 | 11.3 |
| High-net-worth separate accounts | 11.5 | 10.0 |
| Total | \$ 124.9 | \$ 123.1 |
| By asset category: | | |
| Equity ⁽²⁾ | \$ 79.9 | \$ 81.0 |
| Fixed ⁽³⁾⁽⁴⁾ | 32.3 | 27.4 |
| Floating-rate | 12.7 | 14.7 |
| Total | \$ 124.9 | \$ 123.1 |

(1) Includes \$2.0 billion, \$2.8 billion, and \$2.0 billion of retail managed accounts, institutional separate accounts and high-net-worth separate accounts, respectively, acquired from M.D. Sass on 12/31/2008.

(2) Includes balanced accounts

(3) Includes cash management assets of \$0.9 billion on 12/31/2008 and \$1.1 billion on 10/31/2008.

(4) Includes \$6.8 billion of assets acquired from M.D. Sass on 12/31/2008.

Eaton Vance Corp. regularly reports assets under management on a fiscal quarter-end basis (January, April, July and October). The Company also reports assets under management on a calendar quarter basis in order to assist industry consultants who advise institutional clients on investment manager selection.

Eaton Vance Corp., a Boston-based investment management firm, is traded on the New York Stock Exchange under the symbol EV.