Edgar Filing: CA, INC. - Form 4

| CA, INC. | | | | | | | | | | | | |
|---|--|--|--|--|----------------------|--------------------|--|---|--|--|---|--|
| Form 4 | | | | | | | | | | | | |
| October 04, 2016 | | | | | | | | | | | | |
| FORM 4 | | | CECU | | | | | an | | | PPROVAL | |
| | UNITED | STATES | | RITIES . shingtor | | | | GE | COMMISSIO | N OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | Estimated burden ho | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | |
| Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | | |
| (Print or Type Respon | ses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> SULPIZIO RICHARD | | | 2. Issuer Name and Ticker or Trading Symbol CA, INC. [CA] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Lect) (I | Zinet) (1 | Middle) | | | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) 520 MADISON AVENUE | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/30/2016 | | | | | X_ Director 10% Owner Officer (give title Other (specify below) | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| NEW YORK, NY | 7 10022 | | | | | | | | Person | | eporting | |
| (City) (S | state) | (Zip) | Tab | le I - Non- | Deriv | vative | Securitie | es Ac | equired, Disposed | of, or Beneficia | ally Owned | |
| | nsaction Date h/Day/Year) | 2A. Deema Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) Code V | onAcc Dis (Ins | posed str. 3, 4 | (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Reminder: Report on a | a separate line | e for each cla | ass of sec | urities bene | eficial | lly owi | ned direct | tly or | indirectly. | | | |
| | | | | | i r c | nform requir | nation co ed to re lys a cur | onta spo | oond to the colle ined in this form nd unless the fo tly valid OMB co | n are not orm | SEC 1474 (9-02) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) or | | |

| Derivative Security | | | | Disposed of (D) (Instr. 3, 4, and 5) | | | | | | |
|--------------------------------|------------|------------|------|---|-----------|-----|---------------------|--------------------|---|----------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Deferred Stock Units (1) | <u>(2)</u> | 09/30/2016 | А | | 1,757.103 | | <u>(1)</u> | <u>(1)</u> | Common Stock, \$0.10 par value | 1,757.103 |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | |
|--|-----------|------------|---------|-------|
| L B | Director | 10% Owner | Officer | Other |
| SULPIZIO RICHARD 520 MADISON AVENUE NEW YORK, NY 10022 | Х | | | |
| Signatures | | | | |
| /s/ Richard Sulpizio by Aaron I | M. Schlei | cher as | | |
| attorney-in-fact | 10/04/201 | | | |
| <u>**</u> Signature of Rep | | Date | | |
| Explanation of Re | spon | ses: | | |

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued under the 2012 Compensation Plan for Non-Employee Directors and to be settled by issuance of shares of Common Stock either in a lump sum or in installments following termination of service as a director.

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- (2) Deferred Stock Units are convertible into Common Stock on a one-for-one basis.
- (3) Includes Deferred Stock Units having similar terms issued under the Company's prior compensation plans for non-employee directors.

Remarks:

Exhibit List Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.