

Ashley Richard H  
Form 4  
January 23, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ashley Richard H

2. Issuer Name and Ticker or Trading Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
P.O. BOX 966  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/18/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)

CONWAY, AR 72033

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |                 | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |                                       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-----------------|---|--|--|---------------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Amount or Price |   |  |  |                                       |
| Common Stock - Restricted       | 01/18/2019                           |  | A                              | 2,000<br>(1)  | A \$ 0          | 6,500<br>(5)  | (2) (3) (4)  | D  |                                       |
| Common Stock                    |                                      |  |                                |   |                 | 145,853.9904<br>(2)   |  | D  |                                       |
| Common Stock                    |                                      |  |                                |   |                 | 1,689,236   |  | I  | By RH<br>Ashley<br>Investments<br>LLC |
| Common Stock                    |                                      |  |                                |   |                 | 25,668<br>(6)   |  | I  | By wife                               |

Edgar Filing: Ashley Richard H - Form 4

|              |                          |   |                             |
|--------------|--------------------------|---|-----------------------------|
| Common Stock | 15,782 <sup>(7)</sup>    | I | By IRA                      |
| Common Stock | 1,088                    | I | Custodian for children      |
| Common Stock | 3,500                    | I | By Square Associates LLC    |
| Common Stock | 7,917.089 <sup>(8)</sup> | I | By wife IRA                 |
| Common Stock | 2,048,960                | I | By Conservative Development |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Derivative Security (Instr. 3) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Common Stock                               | \$ 17.12   |                                      |  |                                |   | <sup>(9)</sup> 04/15/2025                                | Common Stock  | 12,000                                     |                            |

## Reporting Owners

| Reporting Owner Name / Address                       | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Ashley Richard H<br>P.O. BOX 966<br>CONWAY, AR 72033 |               | X         |         |       |

## Signatures

/s/Richard H. Ashley by Micah  
Osborne

01/23/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted on January 18, 2019 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (2) The reporting person had 500 shares vest since the last filing.
- (3) Restricted Stock granted on January 19, 2018 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (4) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.
- (5) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (6) Reflects a correction to the number of shares beneficially owned, which were incorrectly reported in the reporting person's previously filed Forms 4.
- (7) Includes 322 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (8) Includes 163.420 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (9) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.