Edgar Filing: NEW YORK COMMUNITY BANCORP INC - Form 4

NEW YORK COMMUNITY BANCORP INC

(Middle)

(First)

Form 4

(Last)

Common

Stock

November 21, 2006							
FORM 4.			OMB APPROVAL				
	UNITED STATES	OMB Number:	3235-0287				
Check this box if no longer	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF				January 31, 2005		
subject to Section 16. Form 4 or	STATEMENT OI	Estimated av burden hours response	verage				
Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
1(b).							
(Print or Type Response	es)						
1. Name and Address of Reporting Person ** CARPENTER JAMES J		2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Issuer		Reporting Perso	on(s) to		
		NEW YORK COMMUNITY BANCORP INC [NYB]	(Check all applicable)				

_X__ Officer (give title _ Other (specify (Month/Day/Year) below) below) 615 MERRICK AVENUE 11/16/2006 Sr.EVP & Chief Lending Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

3. Date of Earliest Transaction

X Form filed by One Reporting Person

WESTBUR	Y, NY 11590						Person	Aore than One Re	porting
(City)	(State)	Zip) Table	e I - Non-	Derivative	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transact Code (Instr. 8)		(A)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/16/2006		J <u>(1)</u> \	7 66	A	\$ 16.37	4,363	I	By 401(k)
Common Stock							29,589	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Ι

16,166

Director

By IRA

10% Owner

Edgar Filing: NEW YORK COMMUNITY BANCORP INC - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.65					01/18/2004(2)	01/18/2011	Common Stock	11,564
Stock Option (right to buy)	\$ 12.5					12/21/2003(3)	12/21/2011	Common Stock	19,844
Stock Option (right to buy)	\$ 13.85					07/24/2004(4)	07/24/2012	Common Stock	35,556
Stock Option (right to buy)	\$ 16.06					01/21/2004(5)	01/21/2013	Common Stock	14,222
Stock Option (right to buy)	\$ 16.06					12/30/2005(6)	01/21/2013	Common Stock	7,111
Stock Option (right to buy)	\$ 23.5					09/16/2004(7)	09/16/2013	Common Stock	13,333
Stock Option (right to buy)	\$ 23.5					12/30/2005(8)	09/16/2013	Common Stock	6,667

(Ir

Edgar Filing: NEW YORK COMMUNITY BANCORP INC - Form 4

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARPENTER JAMES J 615 MERRICK AVENUE WESTBURY, NY 11590

Sr.EVP & Chief Lending Officer

Signatures

By: Ilene A. Angarola, Power of Attorney

11/21/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This form reflects increases in beneficial ownership resulting from dividend reinvestment pursuant to Rule 16a-11.
- (2) Stock Options granted pursuant to the 1996 Haven Stock Option Plan are fully vested and exercisable as of January 18, 2004.
- (3) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on December 21, 2003 and were fully vested and exercisable as of December 21, 2004.
- (4) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on July 24, 2004 and were fully vested and exercisable as of July 24, 2005.
- (5) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on January 21, 2004 and were fully vested and are exercisable as of January 21, 2005.
- (6) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan were fully exercisable as of December 30, 2005.
- (7) Stock Options granted pursuant to the 1993 Haven Stock Option Plan vest in equal installments beginning on September 16, 2004 and were fully vested and are exercisable as of September 16, 2005.
- (8) Stock Options granted pursuant to 1993 Haven Stock Option Plan were fully exercisable as of December 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3