#### Edgar Filing: CARPENTER JAMES J - Form 4

CARPENTE	R JAMES J										
Form 4 April 04, 200	7										
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FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287	
Check this if no long subject to Section 10 Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES							NERSHIP OF		ated average en hours per	
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(a	a) of the	Public Ut	. ,	ing Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
CARPENTER JAMES J S			Symbol	Name and ORK CO			g	5. Relationship of Reporting Person(s) to Issuer			
			BANCO	RP INC	[NYB]			(Check all applicable)			
(Last) 615 MERRI				Earliest Tra ay/Year) )07	ansaction			Director 10% Owner X_ Officer (give title Other (specify below) below) Sr.EVP & Chief Lending Officer			
				Amendment, Date Original l(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
								Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deer Execution any (Month/I		on Date, if	3. Transactic Code (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	sposed	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common				Code V	Amount	(D)	Price	(IIISU: <i>3</i> and 4)		By Stock	
Stock	04/02/2007			А	30,000	А	\$0	30,000	Ι	Award $(1)$	
Common Stock								4,471	Ι	By 401(k)	
Common Stock								32,305	Ι	By ESOP	
Common Stock								16,166	I	By IRA	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.65					01/18/2004(2)	01/18/2011	Common Stock	11,564
Stock Option (right to buy)	\$ 12.5					12/21/2003 <u>(3)</u>	12/21/2011	Common Stock	19,844
Stock Option (right to buy)	\$ 13.85					07/24/2004(4)	07/24/2012	Common Stock	35,556
Stock Option (right to buy)	\$ 16.06					01/21/2004(5)	01/21/2013	Common Stock	14,222
Stock Option (right to buy)	\$ 16.06					12/30/2005 <u>(6)</u>	01/21/2013	Common Stock	7,111
Stock Option (right to buy)	\$ 23.5					09/16/2004 <u>(7)</u>	09/16/2013	Common Stock	13,333
Stock Option	\$ 23.5					12/30/2005(8)	09/16/2013	Common Stock	6,667

8. De Se (Ir (right to buy)

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer

Other

CARPENTER JAMES J 615 MERRICK AVENUE WESTBURY, NY 11590

Sr.EVP & Chief Lending Officer

#### Signatures

By: Edward G. Olifer, Power of Attorney

04/04/2007

<u>\*\*</u>Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Awards granted pursuant to the New York Community Bancorp, Inc. 2006 Stock Incentive Plan vest in two equal annual installments commencing on April 2, 2008.
- (2) Stock Options granted pursuant to the 1996 Haven Stock Option Plan are fully vested and exercisable as of January 18, 2004.
- (3) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on December 21, 2003 and were fully vested and exercisable as of December 21, 2004.
- (4) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on July 24, 2004 and were fully vested and exercisable as of July 24, 2005.
- (5) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on January 21, 2004 and were fully vested and are exercisable as of January 21, 2005.
- (6) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan were fully exercisable as of December 30, 2005.
- Stock Options granted pursuant to the 1993 Haven Stock Option Plan vest in equal installments beginning on September 16, 2004 and were fully vested and are exercisable as of September 16, 2005.
- (8) Stock Options granted pursuant to 1993 Haven Stock Option Plan were fully exercisable as of December 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.