

ALLIANCE ONE INTERNATIONAL, INC.
 Form 3
 January 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Fink Nicholas A</p> <p>(Last) (First) (Middle)</p> <p>C/O ALLIANCE ONE INT'L INC.,Â 8001 AERIAL CENTER PARKWAY</p> <p>(Street)</p> <p>MORRISVILLE,Â NCÂ 27560</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/01/2014</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ALLIANCE ONE INTERNATIONAL, INC. [AOI]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) VP- Corp. Controller & CCO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock (Direct) | 2,595 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------------|------------------|-----------------------|----------------------------|-------------------|---------------------------------------|---|
| Stock Option (right-to-buy) | 03/24/2012 ⁽¹⁾ | 03/24/2021 | Common Stock (Direct) | 10,000 | \$ 6 | D | Â |
| Stock Option (right-to-buy) | 04/17/2013 ⁽²⁾ | 04/17/2022 | Common Stock (Direct) | 40,000 | \$ 6 | D | Â |
| Restricted Stock Units | 06/12/2014 | Â ⁽³⁾ | Common Stock (Direct) | 6,000 | \$ ⁽⁴⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Fink Nicholas A C/O ALLIANCE ONE INT'L INC. 8001 AERIAL CENTER PARKWAY MORRISVILLE, NC 27560 | Â | Â | Â VP- Corp. Controller & CCO | Â |

Signatures

LAURA D. JONES,
ATTORNEY-IN-FACT

01/08/2014

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares underlying this option became exercisable one-fifth on this date, and the remaining underlying shares become exercisable one-fifth annually thereafter.
- (2) The shares underlying this option become exercisable one-fifth on each of the five anniversaries of April 17, 2013, the date of grant, subject to continued employment or termination of employment due to retirement.
- (3) Shares awarded under the Alliance One International, Inc. Long-Term Incentive Plan. 3-year cliff vesting: 100% at third anniversary of 6/12/14, the date of grant.
- (4) Each restricted stock unit represents a contingent right to receive one share of Alliance One International, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.