

Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 5

TWEETER HOME ENTERTAINMENT GROUP INC

Form 5

November 15, 2001

FORM 5

/ / CHECK BOX IF NO LONGER SUBJECT TO SECTION 16. FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. SEE INSTRUCTION 1(b)
/ / FORM 3 HOLDINGS REPORTED
/ / FORM 4 TRANSACTIONS REPORTED

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. R
Miller, Shelley			Tweeter Home Entertainment Group, Inc. TWTR		I
(Last)	(First)	(Middle)			
40 Pequot Way			3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)		4. Statement for Month/Year 9/2001
(Street)					
Canton,	MA	02021			5. If Amendment, Date of Original (Month/Year)
(City)	(State)	(Zip)			
					7. I
					(

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount o curities cially O End of I Fiscal Y (Instr.
			Amount	(A) or (D)	Price

*If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Potential persons who are to respond to this form are not required to display a currently valid OMB control number.

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable Date	Expiration Date
OPTION	12.97	9/27/01	J(1)	18,000	9/27/02	9/27/07

1. Title of Derivative

9. Number of

10. Ownership

11. Nature of

Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 5

Security
(Instr. 3)

Derivative
Securities
Beneficially
Owned at End
of Year
(Instr. 4)

of Derivative
Security:
Direct (D)
or Indirect (I)
(Instr. 4)

Indirect
Beneficial
Ownership
(Instr. 4)

43,000

D

Explanation of Responses:

1. The 18,000 option shares granted 9/27/2001 are subject to an annual vesting schedule, to be fully vested by 9/27/2004

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ S

**Sig
/s/ J

Note. File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are required to respond unless the form displays a currently valid OMB control number.